

# ***Notice of Privacy Policy***

Dated April 1, 2016

At Blue Ocean Portfolios, LLC protecting your privacy is very important to us. We value your trust and take your personal privacy seriously. We want you to understand our policies for collecting, using, securing and sharing nonpublic personal information about you (“Information”).

## **Our Privacy Principles**

- We will describe what Information we collect, how we protect it, and what we may do with it.
- We will inform our customers of our Privacy Policy annually and whenever it changes.
- We will keep Information confidential. We will not disclose it to outside companies without your authorization, except as permitted by law.
- We will not sell customer information.
- We will require any person or organization providing products or services to customers on our behalf to adhere to our Privacy Policy standards.

## **Why We Collect and Use Information**

We collect and use Information we believe is necessary to administer our business and to provide you with the highest level of service. Such service includes administering your accounts with us, processing transactions requested by you, and advising you about the products and services we offer.

## **How We Gather Information**

We may collect identification and contact information, as well as transaction and experience information, from:

- Account forms, applications or other forms containing your name, address, telephone number, social security number, employment information, income and assets;
- Your transactions history or experiences with us;
- Public sources we may use to verify the information you give to us. This information may relate to your finances, employment, avocations or other personal characteristics.

## **How We Protect Information**

Our employees and registered representatives are required to protect the confidentiality of Information and to comply with our established policies. They may access Information only when there is an appropriate reason to do so, such as to administer your account or offer our products or services. We also maintain physical, electronic and procedural safeguards to protect Information, which comply with all applicable laws. Employees who violate our Privacy Policy will be subject to disciplinary action.

## **Changes in Privacy Policy**

We reserve the right to change or supplement our Privacy Policy at any time. If we do so, we will provide current customers with a revised Policy. If you are a former customer, you will not receive revised notices, but our Privacy Policy will continue to apply to you.

## **Accessing and Correcting Information**

We will provide you with reasonable access to your Information. Most of this Information is included on your account statement, and was gathered from forms you completed. We encourage you to review this Information to ensure its accuracy and completeness and to notify us of any updates or changes.

## **Disclosure of Information to Affiliates**

We may share Information with our affiliates, as permitted by law. Such sharing would be for the purpose of servicing your account or informing you of our affiliate companies' products and services we think may be of interest to you.

## **Disclosure of Information to Non-Affiliated Companies**

We may disclose Information to non-affiliated third parties when we believe it necessary for the conduct of our business, or where disclosure is required by law. For example, we may disclose Information to cooperate with regulatory authorities or law enforcement agencies.

We may also disclose Information to third-party service providers to enable them to provide business services and transaction processing to us.

Except as provided above, we will not disclose your Information to other companies without your consent. Further, all non-affiliated companies handling our client Information must agree to abide by our Privacy Policies.

Should we decide to change our information sharing policies, you will be allowed an opportunity to "opt out."